Disciplinary Information

- There are two questions on the initial licensure application that address "has any license, certification or registration (nursing or other) every been denied, revoked, suspended, limited or disciplinary action taken by a licensing authority of any state, agency of the US government, territory of the US or country?"
- There are always lots of questions from students about individual circumstances
- Additional documents are included in this toolkit that you can refer to in addressing the student's questions



Felony Conviction for Crimes Against Persons

- 65-1120 (a) (2) states no license shall be granted to a person with a felony conviction for a crime against persons as specified in article 34 of chapter 21 of the Kansas Statutes Annotated
 - <u>http://www.ksbn.org/npa/pages/65-1120.pdf</u>
 - <u>http://www.kslegislature.org/li/statute/021_000</u> 0000_chapter/021_034_0000_article/



Kansas State Board of Nursing

'has <u>any</u> license, certification or registration (nursing or other) ever been denied, revoked, suspended, limited or disciplinary action taken by a licensing authority of any state, agency of the US government, territory of the US or country?'

Disciplinary Action:

The above question regarding disciplinary action is on ALL the KSBN's nursing applications (initial, renewal, reinstatement and endorsement). This question includes, but, is not limited to disciplinary action against ALL <u>licenses, certifications and/or registration as well as disciplinary action by a governmental agency</u>.

(SOME examples are, but, NOT all inclusive---there may be others):

driver's license; fishing license; hunting license; day care license; nursing home administrator's license; nursing license in Kansas or another state; CNA/CMA/HHA certification; EMT certification; school teacher certification. "dishonorable discharge" and/or "other than honorable discharge" from any branch of the military "disciplinary sanction" from any branch of the military.

If you have had a <u>driver's license</u> suspension, restriction, revocation, denial and/or cancellation for <u>any</u> reason, it is reportable to the KSBN per this question on the nursing application.

Many times, a driver's license is suspended for a month when a DUI has occurred, regardless of whether the DUI results in a diversion or conviction.

The driver's license is then often restricted for the next 333 days (to/from work, home, school and for medical emergencies).

A driver's license can be restricted in association with a pattern of DUIs (i.e. ignition interlock).

A driver's license can be suspended for a short period of time when you are convicted of minor in possession/consumption of alcohol.

A driver's license can be suspended due to nonpayment of a traffic citation (example: failure to pay speeding and/or parking tickets).

A driver's license can be suspended due to no proof of vehicle insurance, expired tag and driving on an (already) suspended driver's license.

A driver's license can be cancelled when you pay for your driver's license renewal with a worthless check.

When someone is determined to be a "habitual violator" due to excessive DMV "points" and/or type of offenses/convictions, their driver's license is usually revoked for three (3) years.

The Kansas Department of Revenue (who governs the DMV) is the "keeper" of your <u>Kansas</u> driving record. The **Kansas** driving record shows <u>convictions</u>, suspensions, restrictions, revocations, denials and cancellations (a legend is provided through the Kansas Department of Revenue web site that will enable the user to decipher the codes on the driving record).

If disciplinary action has been taken against your (Kansas) driver's license <u>within the past three (3) years</u>, you are **required** to provide a copy of your current **Driving Record**. A copy of your Driving Record can be obtained from the DMV for a small fee.

If disciplinary action has been taken against your (Kansas) driver's license more than three (3) years ago, you are **required** to provide a copy of your **Driver's License Folder**, which can be obtained from the DMV for a small fee and is more complete than your driving record.

If the disciplinary action on your driver's license occurred in **other states**, the applicant should call the agency for that state which is responsible for maintaining the driving record and any/all written notices. The applicant should inquire how long driver's license information stays on their record, then, the applicant can decide which document(s) would be appropriate for the KSBN application process.

If disciplinary action has <u>ever</u> been taken against your driver's license (<u>for any reason</u>), you are <u>required</u> to provide an explanatory letter regarding the disciplinary action(s) taken against your driver's license. Your letter should include the following for each disciplinary action:

circumstances leading up to the disciplinary action;

date of the disciplinary action;

actual disciplinary action;

current status of the disciplinary action.

If the applicant's name has appeared on the Kansas Department of Health and Environment (KDHE) abuse/neglect/exploitation registry (for <u>CNA/CMA/HHA</u> certification), the applicant is required to report this to the KSBN. A certified/dated copy of the applicant's actual KDHE disciplinary action document must be provided to the KSBN (with an explanatory letter from the applicant).

If the applicant has had disciplinary action taken against a **day care license**, the applicant is required to provide **certified/dated** copies of the disciplinary documents, usually obtained from KDHE and/or SRS (with an explanatory letter from the applicant).

If the applicant has been disciplined by a Board of Education regarding a school teacher's certificate, the applicant must provide certified/dated copies of the appropriate documents indicating that disciplinary action (with an explanatory letter from the applicant). The official documents can usually be obtained from the Board of Education where the disciplinary action occurred.

If the applicant has been "dishonorably discharged", received an "other than honorable discharge" and/or received a "disciplinary sanction" from any branch of the military, the applicant must provide certified/dated copies of the appropriate documents from that military branch regarding the disciplinary action (with an explanatory letter from the applicant). A person can be dishonorably discharged in association with misdemeanor and/or felony crimes.

The disciplinary action question on your KSBN nursing application applies to the following (there may be <u>other</u> examples):

Disciplinary action against **ALL** <u>licenses</u>, <u>certifications</u> and/<u>or</u> <u>registration</u> as <u>well</u> as <u>disciplinary</u> action <u>by a governmental agency</u>.

driver's <u>license;</u>

fishing <u>license;</u>

hunting license;

day care license;

nursing home administrator's license;

nursing license in Kansas or another state;

CNA/CMA/HHA certification;

EMT certification;

school teacher certification.

"dishonorable discharge" and/or "other than honorable discharge" from any branch of the military

"disciplinary sanction" from any branch of the military.

KSBN LEGAL ISSUES FOR NURSING STUDENTS and APPLICANTS FOR INITIAL KANSAS LPN/RN LICENSURE

Use of "RN", "LPN", "nurse" in e-mail addresses IF UNLICENSED:

This is prohibited under K.S.A. 65-1114 of the Kansas Nurse Practice Act; the applicant must change this e-mail address immediately & provide written proof to the KSBN of that change.

Application Completion:

See K.S.A. 65-1120 (a)(1) and (a)(6) as well as K.A.R. 60-3-110 (d) of the Kansas Nurse Practice Act:

The use of white-out on any nursing application submitted to the KSBN is prohibited; if an application completion error is made (wrong #, wrong letter, answered in wrong blank, etc.), cross through the error, initial next to it & enter correct information. Completing a NEW blank application is better.

Complete ALL blanks as applicable; there is a two (2) part question, which <u>must</u> be answered completely (Have you ever been licensed as an LMHT? Have you ever been licensed as an LPN?).

NCLEX Application versus Application for Licensure:

Application for <u>NCLEX</u> includes applying to Pearson-Vue & paying their fee. The KSBN licensing dept. sends out the Authorization to Test (ATT) & the KSBN investigator is NOT involved in that process. Application for <u>licensure</u> includes submitting a written application to the KSBN w/ the appropriate fee/picture (& documents if required) & school transcript to KSBN.

Applicants Who Request Accommodations for NCLEX (d/t disability):

<u>Current/appropriate paperwork must accompany this written request to the KSBN---see Guidelines for Accommodations for NCLEX Testing.</u> An investigative case file is opened by the legal department in order to retain all paperwork/information for Investigative Committee review. The <u>Investigative Committee</u> determines whether the accommodation should be granted or not. If an applicant rescinds their request for NCLEX accommodations, the Investigative Committee still must review the investigative case <u>because the disability still remains</u>. The Investigative Committee may decide to license the applicant (upon the applicant's successful completion of NCLEX), but, may limit the license (this is determined according to the applicant's disability); a limitation of licensure is documented in a written Agreement per the KSBN's Disciplinary Counsel & the applicant/licensee formally agrees to this limitation. If circumstances change re: this need for a limitation, the process may start all over.

Diversion and Conviction Reporting:

A successfully completed court **Diversion** is not a "conviction" unless the Diversion was revoked by the court (judge).

If the court-ordered Diversion was successfully completed prior to the date of submission of the nursing application, then, DO NOT report/document the Diversion as a conviction on your nursing application.

If the court-ordered Diversion is still not completed prior to the date of submission of the nursing application, it is best to report it on the application as a "pending criminal proceeding" and provide the appropriate court documents/explanatory letter with the nursing application.

Please review K.A.R. 60-3-113 of the Kansas Nurse Practice Act regarding the reporting of **misdemeanor** convictions to the KSBN; in particular, please note item (m) under this Practice Act regulation regulation regarding a reportable misdemeanor: "any action arising out of a violation of any state or federal regulation" (please call the KSBN legal department prior to completing your application if you have questions regarding what is/is not reportable under this Practice Act regulation).

Sometimes when a person is cited and/or ticketed by a law enforcement officer, the offense may only have been a city ordinance violation or infraction and NOT a misdemeanor; check the front side of your ticket to see which box was checked by the officer (ordinance or misdemeanor).

In <u>Missouri</u>, "SIS" (Suspended Imposition of Sentence) may be the same as a Kansas Diversion, but, it is advisable to call the KSBN legal department prior to submitting your application to discuss whether your "SIS" was a "conviction" or a "diversion".

In Oklahoma, a misdemeanor/felony charge may result in a period of probation (1-2 years), then, at the end of the successfully completed probation, the charge(s) is/are "expunged" from the record. This scenario MAY be equal to a Kansas diversion, but, it is advisable to call the KSBN legal department prior to submitting your application to discuss the matter.

All felony convictions must be reported to the KSBN.

Convictions that occurred when the applicant was a juvenile ARE reportable to the KSBN.

Conviction Documents---see K.S.A. 65-1120 (a)(1) and (a)(6) as well as K.A.R. 60-3-110 (d) of the Kansas Nurse Practice Act:

Instruction pages have been provided for the applicant; these instruction pages can be downloaded from the KSBN's web site: www.ksbn.org; click on Forms, then, click on Initial License; the 1st two pages are the actual nursing application & the last three pages contain a list of the NAMES of the court documents as well as related information.

If the conviction occurred at the city level, the court documents can be obtained from the municipal court clerk.

If the conviction occurred at the county level, the court documents can be obtained from the district court clerk (circuit court in Missouri and some other states).

Certified/dated copies of the ACTUAL court documents are required by the KSBN.

The "Filed" stamp date on a court document is **NOT** the same as **certified/dated**; the "filed" stamp date is the date someone "filed" that document w/ the court clerk so that it would become "official".

Certified/dated by the court clerk indicates the copies of the court documents given to the applicant are "authentic" copies of the originals maintained in the clerk's office;

certification/dating (of the court documents) can be in the form of an ink stamp, a raised seal, a cover letter or a cover letter w/ a sticky gold seal & red ribbon (federal cases). If the court documents for a single court case are stapled together and only the top/bottom page is certified/dated by the court clerk, then that is acceptable to the KSBN as long as the staple is not disturbed prior to delivery of the documents to the KSBN.

The applicant MUST either mail or bring the certified/dated copies of the court documents to the KSBN (that were given to them by the court clerk).

Certified/dated copies of the actual court documents CANNOT be FAXed to the KSBN.

XEROXED COPIES OF CERTIFIED/DATED COPIES ARE NOT ACCEPTABLE FOR THE KSBN.

If the conviction was greater than 5 years prior to the date of submission of the nursing application, many courts aren't able to and/or don't keep the actual court documents due to lack of space and/or retention policies for that particular court; if the actual court documents are no longer available, the applicant needs to ask the court clerk to write the applicant's court case information in a letterhead letter, including why the actual court documents are no longer available (clerk must sign/date the letter & that original letter must be sent to KSBN with the nursing application); if the court clerk will not provide the above letter and/or court documents, the applicant should state so in their own explanatory letter, giving the name/address/phone number of the court clerk with whom the applicant spoke; the applicant **must** make an effort to obtain the court documents and/or letterhead letter; if the applicant is unsuccessful, the KSBN legal staff may be able to call/write the court clerk in an effort to assist the applicant.

When the applicant is not near the location of the court where the conviction occurred, the applicant can mail/FAX the applicable portion of the <u>application instructions</u> to the court clerk so the court clerk will know exactly what documents/information is required by the KSBN.

The applicant should make sure that she/he is providing the KSBN with ALL court documents for ALL reportable misdemeanor/felony convictions.

If any/all of the required documents are not received by the KSBN with the nursing application, a letter will be mailed to the applicant by the KSBN investigator, specifically naming the document deficiencies. Applicants are encouraged to call the KSBN legal department to inquire if the applicant has the correct documents BEFORE mailing them to the KSBN.

"Traffic" offenses include, but, are NOT limited to: parking, speeding, some DUIs, expired/altered tag, no proof of insurance, no driver's license in possession, driving on a suspended driver's license, no seat belt, no child restraint.

Parking and speeding "traffic" offenses do not have to be reported to the KSBN per the "conviction" question.

Any other "traffic" offenses (when conviction occurs) must be reported to the KSBN as they are usually low level misdemeanors.

A traffic "citation" is the same as the court document called "Complaint" (Uniform Notice to Appear and Complaint is often printed at the top of a "traffic" ticket). The "Complaint" is the front side of the actual traffic citation(s).

The "Complaint" contains the offense(s) of which the applicant has been charged.

When the conviction occurs in a county/circuit court and/or a federal/U.S. district court, this "Complaint" document may be called "Complaint, Indictment, Information or Petition".

If the original charges were increased or decreased, the court may have created a document called "Amended Complaint" (petition, indictment, information). Sometimes the "Amended Complaint" information is contained within the "Journal Entry" or "Judgment" court document.

The "Journal Entry" or "Judgment" court document contains conviction/sentencing information. Sometimes the "Journal Entry" is on the back side of the "traffic" citation(s). If the conviction/sentencing information is not on the back side of the traffic citation, the court may have created a separate 8½ X 11 sheet of paper called "Journal Entry" or "Judgment".

There can <u>sometimes</u> be two (2) separate Journal Entries; the 1st is created on the date of "conviction" and the 2nd is created on the date of "sentencing"---these 2 Journal Entries can be a week or so apart.

Municipal Courts typically DO NOT create a "Journal Entry", instead they depend upon a computer screen print that they certify/date (example: Party Detail Summary; Case Summary etc.); this computer screen print usually contains the court case number, name of the offense(s), conviction date, sentencing date and whether the fines/fees/costs/restitution has been paid or are being paid.

If you have a misdemeanor/felony conviction in <u>Kansas</u>, the court documents will contain the abbreviation **K.S.A.** (Kansas Statute Annotated) followed by the statute number that was violated (example: K.S.A. 21-3512).

Kansas criminal convictions usually contain "CR" somewhere in the court case number (example: 99CR1234 or CR99-1234). If the misdemeanor conviction occurred in a "traffic" court, the court case number may contain "TR" in place of the "CR".

If the conviction occurred in Missouri, the abbreviation RsMO (Revised statutes/Missouri) will appear in the court documents.

If the conviction was in Oklahoma, a case number on the court documents such as CM-1234 indicates a misdemeanor and a case number such as CF-1234 indicates a felony.

Expungement of Conviction:

If a conviction has been expunged prior to the date of submission of the nursing application, then the "conviction" is really no longer a "conviction" and NOT reported as a conviction.

A conviction is <u>NOT</u> automatically expunged by the court after a certain time period;

a person must hire an attorney (for a fee);

the attorney then files a Petition for Expungement with the court;

the court (Judge) then must grant the petition;

if the petition is granted, a court document called "Order of Expungement" is usually created and maintained by the court office.

If a nursing applicant submits conviction court documents with the initial application for licensure, then, later has the conviction(s) expunged, the applicant is **required** to provide the KSBN with a **certified/dated** copy of the Order of Expungement for that conviction; the Expungement court document would be maintained in the applicant's applicable KSBN case file.

Does the Number and/or Type of Convictions Determine Licensure---see K.S.A. 65-1120 (a)(2) of the Kansas Nurse Practice Act:

Felony Crimes Against Persons are an automatic bar to Kansas nursing licensure as set by the Kansas legislature; it would require a LAW (statute) change by the Kansas legislature to allow licensure.

K.S.A. 21-34** Felony Crimes Against Persons: read the K.S.A. number in the Kansas court document called "Journal Entry"; this K.S.A. number should tell you if the applicant was convicted of a felony crime against a person.

If the felony occurred in another state, the language of the statute from the <u>other</u> state is compared to the <u>Kansas</u> statute <u>language</u>. When in doubt, the applicant is encouraged to call the KSBN legal department for assistance in determining whether the crime was a person or non-person felony.

Other felony convictions and all misdemeanor convictions are considered by the KSBN's Investigative Committee on a case-by-case basis at the time of application for licensure. When making a decision regarding licensure, the Investigative Committee (chairperson) takes into consideration:

the TYPE(s) of convictions; how often the convictions occurred; how long ago they occurred; rehabilitation (court-ordered and/or lifestyle change)

For example: a string of DUI convictions over the course of a short period of time could cause the Investigative Committee concern and may result in a referral to Kansas Nurses Assistance Program (KNAP) for a chemical dependency evaluation; the results of that evaluation would determine licensure and whether any limitations would need to be placed on that license (once licensed); limitations could include: no home health/private duty/staffing agency work, employer quarterly reports and/or no controlled substance access. Another example: the same could apply for multiple drug convictions.

Another example: multiple domestic battery, disorderly conduct/brawling convictions over a short period of time could cause the Investigative Committee concern which may result in a KNAP referral for a mental health evaluation; licensure limitations could include prohibition from settings where the licensee is alone and/or prohibition from certain patient population types (Alzheimer's, pediatrics etc.).

If the convictions were long ago and no/little current concern exists, the Investigative Committee may approve the application, but, the case file information would remain available to the legal department for future reference (i.e. pattern of behavior).

Disciplinary Action:

This question is on ALL the KSBN's nursing applications (initial, renewal, reinstatement and endorsement). This question includes, but, is not limited to disciplinary action against all licenses, certifications and/or registration (some examples are):

driver's license; fishing license; hunting license; day care license; nursing home administrator's license; nursing license in Kansas or another state; CNA/CMA/HHA certification; school teacher certification.

This disciplinary action question <u>also</u> applies to those who have been disciplined by an agency of the U.S. government (i.e. dishonorable/other than honorable discharge from any branch of the military; disciplinary action sanction).

If you have had a driver's license suspension, restriction, revocation, denial and/or cancellation for any reason, it is reportable to the KSBN per this question on the nursing application.

Many times, a driver's license is suspended for a month when a DUI has occurred, regardless of whether the DUI results in a diversion or conviction.

The driver's license is then often restricted for the next 333 days (to/from work, home, school and for medical emergencies).

A driver's license can be restricted in association with a pattern of DUIs, i.e. ignition interlock.

A driver's license can be suspended for a short period of time when you are convicted of minor in possession/consumption of alcohol.

A driver's license can be cancelled when you pay for your driver's license renewal with a worthless check.

When someone is determined to be a "habitual violator" due to excessive DMV "points" and/or type of offenses/convictions, their driver's license is usually revoked for three (3) years.

A driver's license can be suspended due to nonpayment of a traffic citation.

A driver's license can be suspended due to no proof of vehicle insurance, expired tag and driving on an (already) suspended driver's license.

The Kansas Department of Revenue (who governs the DMV) is the "keeper" of your <u>Kansas</u> driving record. The **Kansas** driving record shows <u>convictions</u>, suspensions, restrictions, revocations, denials and cancellations (a legend is provided through the Kansas Department of Revenue web site that will enable the user to decipher the codes on the driving record).

If disciplinary action has been taken against your (Kansas) driver's license within the past three (3) years, you are required to provide a copy of your current Driving Record. A copy of your Driving Record can be obtained from the DMV for a small fee.

If disciplinary action has been taken against your (Kansas) driver's license <u>more than three (3) years ago</u>, you are **required** to provide a copy of your **Driver's License Folder**, which can be obtained from the DMV for a small fee and is more complete than your driving record.

If the disciplinary action on the driver's license occurred in **other states**, the applicant should call the agency for that state which is responsible for maintaining the driving record and any/all written notices. The applicant should inquire how long driver's license information stays on their record.

If disciplinary action has <u>ever</u> been taken against your driver's license (<u>for any reason</u>), you are <u>required</u> to provide an explanatory letter regarding the disciplinary action(s) taken against your driver's license. Your letter should include the following for **each disciplinary action**:

<u>circumstances</u> leading up to the disciplinary action; <u>date</u> of the disciplinary action; <u>actual</u> disciplinary <u>action</u>; current status of the disciplinary action.

If the applicant's name has appeared on the Kansas Department of Health and Environment (KDHE) abuse/neglect/exploitation registry (for <u>CNA/CMA/HHA</u> certification), this may cause concern regarding initial LPN/RN licensure.

A certified/dated copy of the applicant's actual KDHE's disciplinary action document must be provided to the KSBN (with an explanatory letter from the applicant). If the applicant has had disciplinary action taken against a **day care license**, the applicant is required to provide **certified/dated** copies of the disciplinary documents, usually obtained from KDHE and/or SRS (w/ an explanatory letter from the applicant). If the applicant has been disciplined by a Board of Education regarding a school teacher's certificate, the applicant must provide certified/dated copies of the appropriate documents (w/ an explanatory letter from the applicant) indicating that disciplinary action. These documents can usually be obtained from the Board of Education.

If the applicant has been "dishonorably discharged", received an "other than honorable discharge" and/or received a "disciplinary sanction" from any branch of the military, the applicant must provide certified/dated copies of the appropriate documents from that military branch (with an explanatory letter from the applicant) regarding the disciplinary action. A person can be dishonorably discharged in association with misdemeanor and/or felony crimes.

Explanatory Letter:

The explanatory letter should be short & to the point !!!! Follow the application instructions that accompany the nursing application (as to the required content of your letter).

When there is a driver's license suspension/restriction related to a court Diversion (such as DUI) and the court Diversion has been successfully completed prior to the date of submission of the nursing application, that Diversion will be mentioned in the applicant's explanatory letter only as a reference point as to why the driver's license was suspended/restricted etc.

Investigative Committee Decision Letter:

Since the Investigative Committee only meets four (4) times per year (during "Board Week"), the Committee chairperson is contacted once weekly in between "Board Week" regarding new graduate, reinstatement and endorsement applicants who have a history of convictions/disciplinary actions. The Committee chairperson usually makes her/his decision regarding licensure/limitations per that telephone contact. However, in some instances, the Committee chairperson chooses to pass the investigative case/application on to the next FULL Investigative Committee for review/decision. Once the "decision" has been made by the Committee chairperson, all efforts are made to mail a "decision" letter to the applicant in a timely manner (usually within a day or two). If the Committee chairperson's decision was to pass the case/application to the next FULL Committee for review/decision, the applicant is notified of that fact (in writing) in a timely manner. If an application is denied by the Investigative Committee, a decision letter is mailed to the applicant in a timely manner, then, the case/application is forwarded to the KSBN's Disciplinary Counsel for further disposition; that decision letter contains the telephone number of the Disciplinary Counsel so the applicant can call if they have any questions.

Reporting of Convictions and/or Disciplinary Action per FUTURE Nursing Applications:

The above "decision" letter contains a KSBN Case number that was assigned to that applicant's case; that KSBN Case number can be used in the explanatory blank on future <u>paper</u> nursing applications submitted to the KSBN (convictions/disciplinary action already reported to the KSBN).

If the licensee renews their Kansas nursing license <u>on-line</u> in the future, they are still required to report their prior misdemeanor/felony convictions and/or disciplinary actions per that on-line application. There is no "free space" in which to record a KSBN case #, but, there are "main" questions and "sub" questions for the applicant to answer. <u>It would be wise for the applicant/licensee to retain</u> <u>any/all correspondence they receive from the KSBN so they can reference it in the future if needed</u>. When the applicant becomes licensed and it is now time for their 1st renewal, referring to the (above) "decision" letter becomes very helpful. IF THE APPLICANT/LICENSEE HAS NOT KEPT THE LETTER, they can always call the KSBN legal department for assistance.

What Happens to the (Licensure) Application after Approval:

The approved application is immediately forwarded to the licensing department for further processing; the applicant needs to call the licensing department clerk to inquire when their license will be mailed to them.

Licensure Verification:

Licensure verification can be accomplished per the KSBN's web site; some employers will accept this as "proof" of licensure while other employers want to wait to see the ACTUAL license card, which is the employer's prerogative. The licensure verification data base is updated **hourly**, so the employer can check back throughout the day to see if their employee is "licensed" yet or not; if the applicant is licensed via this KSBN data base, but, they don't yet have their license card in hand, they will NOT be cited for unlicensed practice.

Certified/dated copies of the ACTUAL court documents are required by the KSBN whenever possible.

If the conviction was greater than 5 years prior to the date of submission of the nursing application, many courts aren't able to and/or don't keep the actual court documents due to lack of space and/or retention policies for that particular court.

If the actual court documents are no longer available, <u>the applicant needs to ask the court clerk</u> to write the applicant's court case information in a letterhead letter, including why the actual court documents are no longer available (clerk must sign/date the letter & that original letter must be sent to KSBN with the nursing application).

If the court clerk will <u>not</u> provide the above letter and/or court documents, the applicant should state so in their own explanatory letter, giving the name/address/phone number of the court clerk with whom the applicant spoke. The applicant **must** make an effort to obtain the court documents and/or letterhead letter. If the applicant is unsuccessful, the KSBN legal staff may be able to call/write the court clerk in an effort to assist the applicant.

Some courts can only provide certified/dated copies of computer screen printouts (usually in traffic cases), which is acceptable to the KSBN if it is the only way to provide proof of a conviction.

When the applicant is not near the location of the court where the conviction occurred, the applicant can mail/FAX the applicable portion of the <u>application instructions</u> and/or KSBN instruction letter <u>to</u> the court clerk so the court clerk will know exactly what documents/information is required by the KSBN.

The "Filed" stamp date on a court document (usually in the upper right hand corner of a court document) is **NOT** the same as **certified/dated.** The "filed" stamp date is the date someone (usually an attorney or their secretary) "filed" that document with the court clerk so that it would become "official".

Certified/dated by the court clerk indicates the copies of the court documents given to the applicant are "authentic" copies of the originals maintained in the clerk's office.

Certification/dating (of the court documents) can be in the form of:

• an **ink stamp** (somewhat like a notarized stamp)

Example of what is stamped on the court document when **certified**: "the above is a true and correct copy of the original instrument which is on file or of record in the court."

> (signature of court clerk) (location of court)

(date)

- an embossed/raised seal;
- a cover letter or a cover letter w/ a sticky gold seal & red ribbon (federal cases).

If the court documents for a single court case are stapled together and only the top/bottom page is certified/dated by the court clerk, then that is acceptable to the KSBN as long as the staple is not disturbed prior to delivery of the documents to the KSBN.

The applicant MUST either mail or bring the **certified/dated** copies of the court documents to the KSBN (that were given to them by the court clerk).

Certified/dated copies of the actual court documents CANNOT be FAXed to the KSBN.

XEROXED COPIES OF CERTIFIED/DATED COPIES ARE NOT ACCEPTABLE FOR THE KSBN.